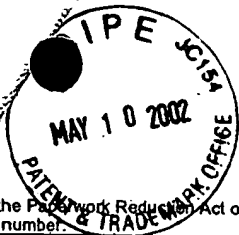


English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case.

Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.



RECEIVED

JUN 12 2002

TC 144

PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Patent Work Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO		Complete If Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		Application Number	10/090,679
		Filing Date	03/05/02
		First Named Inventor	Gunter
		Group Art Unit	2131
		Examiner Name	
Sheet 2 of 4	Attorney Docket Number	53087-5011	

RECEIVED  
MAY 14 2002  
Technology Center 2100

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
DD	U	Blaze et al., "THE KEYNOTE TRUST-MANAGEMENT SYSTEM VERSION 2", Internet Society, September 1999, pp.1-32.	
	V	Gunter et al., "POLICY-DIRECTED CERTIFICATE RETRIEVAL", Software-Practice & Experience, September 21, 2000 vol. 3, pp.1609-1640.	
	W	Gunter et al., "GENERALIZED CERTIFICATE REVOLUTION", ACM Symposium on Principles of Programming Languages, January 2000, pp.1-14.	
	X	Kakkar et al., "CERTIFICATE DISTRIBUTION WITH LOCAL Autonomy", International Workshop on Active Networks, October 2000, pp.1-19.	
	Y	Blaze et al., "DECENTRALIZED TRUST MANAGEMENT", Proc. IEEE Conference on Security and Privacy, May 1996, pp.1-23.	
	Z	Herzberg et al., "ACCESS CONTROL MEETS PUBLIC KEY INFRASTRUCTURE, OR: ASSIGNING ROLES TO STRANGERS", pp.1-13.	
	AA	Rivest, et al., "SDSI-A SIMPLE DISTRIBUTION SECURITY INFRASTRUCTURE", APRIL 30, 1996, pp.1-37.	
	BB	C. ELLISON, "SPKI REQUIREMENTS," THE INTERNET SOCIETY, September 1999, pp.1-14	

Examiner Signature		Date Considered	3/11/04
--------------------	--	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

TC 1700

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Required to respond to a collection of information unless it contains a valid OMB

Under the Paperwork  
control number.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

Substitute for form 1449A/PTO

(use as many sheets as necessary)

Sheet	3	of	4
-------	---	----	---

**Complete if Known**

Attorney Docket Number	53087-5011
------------------------	------------

**U.S. PATENT DOCUMENTS**

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

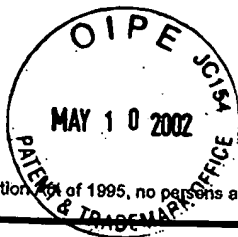
Date Considered

3/11/09

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**



REC

JUN 12 2002

TC 1/00

PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
control number. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 4 of 4

## Complete If Known

Application Number	10/090,679
Filing Date	03/05/02
First Named Inventor	Gunter
Group Art Unit	2131
Examiner Name	
Attorney Docket Number	53087-5011

RECEIVED  
MAY 14 2002  
Technology Center 2100

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
JS		Ellison et al., "SPKI CERTIFICATE THEORY", The Internet Society, September 1999, pp. 1-43.	
KK		Muller, "BLUE TOOTH SECURITY ARCHITECTURE", Bluetooth White Paper, July 15, 1999, pp. 1-33	
LL		Mettala, "BLUETOOTH PROTOCOL ARCHITECTURE", Bluetooth White Paper, September 29, 1999, pp. 1-20	
MM		Maywah, "AN IMPLEMENT OF A SECURE WEB CLIENT USING SPKI/SDSI CERTIFICATES", S.B. Massachusetts Institute of Technology, May 2000, pp. 1-76.	
NN		DAN BLACKMAN, "SMARTCARDS: THE INTELLIGENT WAY TO SECURITY", Network Computing, MAY 15, 1998, pp. 170-171	
OO		Ellison, <a href="http://world.std.com/~cme/">http://world.std.com/~cme/</a> , July 21, 2001, pp. 1-3.	
PP		IEEE, <a href="http://www.ieee.org">www.ieee.org</a> , 13 pages	
QQ		Infrared Data Association, <a href="http://www.irda.org">www.irda.org</a> , 93 pages	
RR		Microsoft .Net Passport Review Guide, "THE WHY, WHAT AND HOW OF MICROSOFT .NET PASSPORT", Published: March 2002, pp. 1-50.	
SS		NET PASSPORT OVERVIEW: //13/ <a href="http://www.microsoft.com/my services/passport/overview.asp">http://www.microsoft.com/my services/passport/overview.asp</a> , pp. i-7; //21/ <a href="http://www.microsoft.com/my services/passport/overview.asp">http://www.microsoft.com/my services/passport/overview.asp</a> , p. 1.	

Examiner  
Signature

Jense

Date

Considered

3/11/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.